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Notice of Appeal

Docket Number
12131/1

Application Number
08/976,159

Filing Date
November 21, 1997

Examiner
Romain Jeanty Art Unit
2163

Invention Title

**ENHANCED MATCHING APPARATUS AND METHOD
FOR POST-TRADE PROCESSING AND SETTLEMENT
OF SECURITIES TRANSACTIONS**

Inventor(s)
BRANDER et al.

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231
Box AF

I hereby certify that this correspondence is being deposited with
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Date: *29 Jan 2002*

Signature: *Michelle M. Carniaux*
Michelle M. Carniaux
(Reg. No. 36,098)

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Sir:

Technology Center 2100

Applicants hereby appeal to the Board of Patent Appeals and Interference from the decision of the Examiner made in the Final Office Action dated October 29, 2001 finally rejecting claims 1-9 and 12-36.

The Commissioner is hereby authorized to charge payment of the 37 C.F.R. § 1.191 Notice of Appeal fee of \$320.00 to deposit account number 11-0600.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to number 11-0600.

- A. Any additional filing fees required under 37 C.F.R. § 1.16;
- B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
- C. Any additional patent issue fees under 37 C.F.R. § 1.18;
- D. Any additional document supply fees under 37 C.F.R. § 1.19;
- E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
- F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

4. A duplicate of this letter is enclosed.

Dated: *29 Jan 2002*

By: *J.C.*

Michelle M. Carniaux (Reg. No. 36,098)

KENYON & KENYON
One Broadway
New York N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)

444660